



Application

to open a J. Safra Sarasin vested benefits account/ Securities investment

For ease of comprehension, all references to persons always apply to either gender.

To be completed and **signed** by the applicant and forwarded to J. Safra Sarasin Vested Benefits Foundation:

Male Female

2nd account (if a vested benefits account already exists with us)

3rd account (if two vested benefits accounts already exist with us)

Last name	_____	Street/No.	_____
First name	_____	Postcode/place	_____
Date of birth	_____	Marital status	_____
Nationality	_____	Date of marriage	_____
OASI No.	_____	Telephone No.	_____

Please enclose actual signed copy of ID or passport (front- and backside).

Payout to life partner

For an existing **life partnership**, official verification of the relationship is required in the event of death (please request the relevant form from us). This avoids any potential ambiguities regarding the associated entitlements.

Previous pension plan(s)

Name	_____	Address	_____
Vested benefits capital		CHF	_____
of which LPP pension savings		CHF	_____
Status of vested benefits at the age of 50		CHF	_____
Termination date of previous pension plan			_____
Status of vested benefits at time of marriage		CHF	_____

Advance withdrawals and pledges for home ownership purposes

Are there advance withdrawals? No Yes, amount CHF _____ Date _____

Are there pledges? No Yes, amount CHF _____ Date _____

Contributions

The amount of the vested benefits capital is paid by bank giro/postal cheque into the central client account **IBAN CH05 0875 0006 7844 0130 0** of the J. Safra Sarasin Vested Benefits Foundation (hereinafter referred to as «the Foundation») with Bank J. Safra Sarasin Ltd in Basle (postal account No. 40-106-2, clearing No. 8750), quoting your last name, first name and date of birth.



Securities investment

I issue the Foundation with a

permanent buy order

(A permanent order can only be issued for one strategy. All account balances will be invested in the strategy selected until revoked.)

for the investment class

LPP Yield

LPP Future

LPP Sustainability Income

LPP Income

LPP Equities 80 (non-BVV2-compliant)

LPP Sustainability

LPP Growth

once-only buy order

(In the case of a single order, only the amount in accordance with the order is invested. Subsequent monies received without an additional order will be posted to the client's vested benefits account and interest will be added.)

for the investment class

LPP Yield

shares to the value of CHF _____

for the total balance

LPP Income

shares to the value of CHF _____

for the total balance

LPP Growth

shares to the value of CHF _____

for the total balance

LPP Future

shares to the value of CHF _____

for the total balance

LPP Equities 80

(non-BVV2-compliant)

shares to the value of CHF _____

for the total balance

LPP Sustainability Income

shares to the value of CHF _____

for the total balance

LPP Sustainability

shares to the value of CHF _____

for the total balance

at the price set on the next trading date by the «J. Safra Sarasin Investment Foundation», Basle.

Supplementary information on «LPP Equities 80 – non-BVV2-compliant»

The equity exposure and fluctuations in value (profit/loss) for this new investment strategy are much higher than for conventional pension products. That is why the investment group is suited to investors with a corresponding risk profile and/or long investment horizon.

Issuing commission for securities investments _____%, which is charged directly to the pension assets (brokerage commission).

Acquisition agent: _____

Stamp:

Signature: _____



Agency and portfolio management transferred to the acquisition agent

The pension fund member acknowledges that the underwriting broker may receive an agency and/or portfolio management commission for the referral and for the advice provided to the member. The insured person will refrain from requesting such services from the Foundation.

Exclusion of liability for advice given by the acquisition agent

The pension fund member releases the Foundation and the Bank from any liability relating to the advisor's services.

Disclosure of data to any acquisition agent

The insured person authorises the Foundation to provide any acquisition agent and Bank J. Safra Sarasin Ltd or any of its affiliated companies (in particular, wholly-owned subsidiaries or companies with a BJSS majority stake with head office in Switzerland) with data on this Vested Benefits account and the securities investments. The purpose of the data processing is to enable the insured person to benefit from the comprehensive range of services provided by the data recipient.

Features and risks of investments in the Foundation

The insured person confirms that the adviser has explained to him the features and risks of investments in the Foundation's pension products. The insured person takes particular note that the Foundation cannot provide any assurance or guarantee of positive asset growth. The insured person is aware that the value of investments can rise or fall irrespective of past returns. All investments may be subject to sudden substantial loss of value and when an investment is redeemed, the return may be less than the sum originally invested.

The insured person confirms that both in terms of content and investment horizon the desired investment is consistent with his investment goals (life goals/financial planning) and his financial circumstances. In the event that he makes further contributions or alters his strategy after entering into this pension agreement, the Foundation will be entitled to assume that the new investment is in accordance with the above principles and he is familiar with the specific features and risks of the strategy which he has selected.

Your risk profile

The investment group's strategic equities quota allows you to select an investment strategy at any time that matches your risk profile (risk capacity and risk tolerance).

Risk capacity is an investor's ability to weather fluctuations in value and withstand losses on his investments without falling into financial hardship. The less an investor relies on his invested capital to meet his obligations, the greater his risk capacity is.

Risk tolerance refers to the extent to which an investor's own willingness to tolerate fluctuations in value – profit/loss – and take risks in exchange for a potentially higher return.

If there are major changes to your personal circumstances (advancing age, retirement, income, marital status, inheritance, etc.) and you have to re-adjust your current investment strategy, simply switch to the module that suits you best. If your investment decision deviates from your risk profile and you opt for a different investment strategy, you will have to bear the associated risks yourself.

Regulations

In all other respects, the legal relationship between the Foundation and the insured person is governed by the most recent edition of the Foundation's regulations. The insured person confirms that he has a copy in his possession. I have read the regulations incl. appendix of the J. Safra Sarasin Vested Benefits Foundation concerning «securities investment» and agree with their contents in full.

Place of performance and jurisdiction

The place of performance and jurisdiction for all legal proceedings is the place where the Foundation has its head office. However, the Foundation has the right to bring legal actions in any other competent court.

By signing below, the insured person confirms that he has completed the form truthfully and he is aware that deliberately providing false information on this form is an offence under the Swiss Criminal Code.

Place, date

Signature of insured person