



Application to close the Pillar 3a account/the securities investment

Pillar 3a account no.

Last name

First name

Residence for tax purposes:

Street

Postcode

Town/Country

Sell

Date

(Sell only Wednesdays, deadline orders on the eve of 5.00 p.m.)

Number of shares

Total balance

<input type="checkbox"/> LPP Yield	_____	<input type="checkbox"/> _____	<input type="checkbox"/> _____
<input type="checkbox"/> LPP Income	_____	<input type="checkbox"/> _____	<input type="checkbox"/> _____
<input type="checkbox"/> LPP Growth	_____	<input type="checkbox"/> _____	<input type="checkbox"/> _____
<input type="checkbox"/> LPP Future	_____	<input type="checkbox"/> _____	<input type="checkbox"/> _____
<input type="checkbox"/> LPP Equities 80 – non-BVV2-compliant	_____	<input type="checkbox"/> _____	<input type="checkbox"/> _____
<input type="checkbox"/> LPP Sustainability Income	_____	<input type="checkbox"/> _____	<input type="checkbox"/> _____
<input type="checkbox"/> LPP Sustainability	_____	<input type="checkbox"/> _____	<input type="checkbox"/> _____

Important: The sale is executed only after receipt of a complete documentation.

Please fill in either point 1, 2 or 3; spouse/partner = married persons and registered partners as defined in the Swiss Federal Registered Partnerships Act (PartG)

1. Transfer to another Pillar 3a Foundation³

(please enclose confirmation and pay-in slip for the new pension fund/no partial transfer possible)

2. Purchase additional benefits in a pension fund³

(by part-transferal, pension fund-affirmation or pension fund-statement necessary)

Name and address of the new pension fund foundation

Bank/account number

CHF amount

Ref. no./Contract no.

Postal account

Enclosure: pay-in slip

³ Actual signed copy of passport / ID of accountholder (front- and backside)



3. Early pay-out of the pillar 3a capital (no partial pay-out possible)

Reason for pay-out (please cross as appropriate and enclose documents listed)

- Age limit reached^{1, 3, 4, 5, 6} (OASI retirement age, max. 5 years before or after statutory OASI retirement age provided still in employment, but not later than 69/70)
- Leaving Switzerland permanently ^{1, 2, 3, 4, 6}
 - Officially certified signature of accountholder obligatory
 - Deregistration certificate from the Residents Registration Office (not more than 3 months old) and Confirmation of domicile (not more than 3 months old)
 - The cross-border worker has to enclose the confirmation of domicile (not more than 3 months old), the cancellation authorization-G and the self-declaration of the insured person that he no longer works in Switzerland.
 - For processing fee (expense CHF 300.00) is charged and debited directly from pillar 3a account.
- Low amount^{1, 3, 4, 5, 6} (less than annual contribution in Pillar 3a)
- Commencement of (a different type of) self-employment ^{1, 2, 3, 4, 6}
(payment can only be made within one year after commencement of self-employment!)
 - Up-to-date disposition from the OASI and copy registration form OASI Equalisation Fund «main occupation: self-employed»
 - Your written confirmation that you are no longer covered by a compulsory occupational pension fund as an employee.
- Disability^{1, 3, 4, 5, 6} (degree of disability at least 70%)
 - Up-to-date disposition from the IV Disability Insurance scheme (not more than 2 years old)
- Death of the insured person (to be enclosed by the heirs)
 - Certificate of inheritance
 - Actual signed copy of passport / ID of the heirs

For payments to foreign countries send copy bank letterhead with account details

Name and address of the bank

Bank-/Postal-IBAN-No.

in the name of

SWIFT

Enclosure: pay-in slip

¹ Signature and actual signed copy of passport / ID of spouse (front- and backside) / registered partnership required, in case of registered partnership please enclose copy of partnership registration document
² Officially certified signature of spouse/registered partnership mandatory (obligatory)
³ Actual signed copy of passport / ID of accountholder (front- and backside)
⁴ Up-to-date confirmation of marital status (not more than 3 months old)
⁵ For foreign payment, officially certified signature of the accountholder obligatory
⁶ Officially submitted documents send with contact address, telephone number and e-mail



Advance withdrawal of pension benefits under the Swiss Federal Law on the Encouragement of the Use of Pension Assets for Home Ownership^{1, 3, 4, 5, 6}

Advance withdrawal

Partial benefits amounting to CHF _____

Benefits accrued as of payment date

while maintaining the account

while closing the account

Advance withdrawal within past 5 years amount: CHF _____ paid out on _____

Pledge amount: CHF _____

(Please enclose pledge agreement with bank)

Intended use

Construction/purchase

Participation

Mortgage repayment

If participation

Housing cooperative

Tenant company

Loans

Unit certificates and similar equities must be lodged with the J. Safra Sarasin Pillar 3a Foundation.

Property

Apartment

Single-family home

I confirm that the advance withdrawal or pledge will be used for an owner-occupied residential property.

Form

Sole ownership

Joint ownership: share _____

Joint ownership: spouse/partner

Right to build

¹ Signature and actual signed copy of passport / ID of spouse (front- and backside) / registered partnership required, in case of registered partnership please enclose copy of partnership registration document

³ Actual signed copy of passport / ID of accountholder (front- and backside)

⁴ Up-to-date confirmation of marital status (not more than 3 months old)

⁵ For foreign payment, officially certified signature of the accountholder obligatory

⁶ Officially submitted documents send with contact address, telephone number and e-mail



Documents to be enclosed as evidence of intended purpose (obligatory)

- Copy of notarised purchase contract
- or
- Up-to-date land register extract
(including number of land register sheet)
- Bank confirmation of intended use

Name and address of mortgage lender

Mortgage account no. _____ Payment required on _____ Enclosure: pay-in-slip
(private account not possible)

Confirmation

The insured person signing below and spouse/partner, if any, hereby confirm that they...

- have been informed that they will be liable for tax immediately on payment/advance withdrawal of the pension benefits.
- give J. Safra Sarasin Pillar 3a Foundation permission, if necessary, to make further enquiries.
- certify that the above details and the documents submitted are accurate and complete.

If applying for a pledge/advance withdrawal for home ownership purposes, they also confirm that they...

- have received the regulations and appendix of the J. Safra Sarasin Pillar 3a Foundation and have read the contents concerning the «encouragement of the use of individual tied pension assets (Pillar 3a)» for home ownership.
- have no possibility of repaying the advance withdrawal to the Pillar 3a and there is consequently no security provided by means of a note in the land register indicating a limitation on sale.
- are aware that a processing fee (Home Ownership expenses CHF 300.-) is charged and debited directly from the J. Safra Sarasin Pillar 3a account.

Place, date _____ Marital status _____ Telephone number _____ Signature of accountholder _____

For married persons and registered partners only

Place, date _____ Last name, first name of spouse/partner _____ Telephone number _____ Signature of spouse/partner _____

Only in case of death

Place, date _____ Last name, first name of heirs _____ Telephone number _____ Signature of heirs _____
